

IAP9 Rec'd PCT/PTO 01 SEP 2006

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q96218

Yoshiaki KOJIMA

Appln. No.: National Stage of PCT/JP2005/003285

Confirmation No.: Not yet assigned

Group Art Unit: Not yet assigned

Filed: September 1, 2006

Examiner: Not yet assigned

For: INFORMATION RECORDING METHOD AND INFORMATION RECORDING APPARATUS

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents (International Stage of PCT/JP2005/003285 dated June 21, 2005), together with an English-language

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /A.P./

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: National Stage of PCT/JP2005/003285

Attorney Docket No. Q96218

version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations: Japanese Unexamined Patent Publication No. 6-131706 is cited and discussed on page 2 of the specification of the present application. Additionally, English-language abstracts constitute concise statements of relevance for JP-A-11-283283; JP-A-2002-367178 and JP-A-6-131706.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

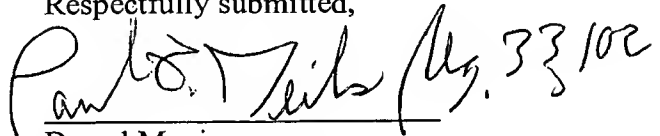
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23373

CUSTOMER NUMBER

Respectfully submitted,

  
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Date: September 1, 2006

Receipt date: 09/01/2006

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10591750 - GAU: 2627  
IAP9 Rec'd PCT/PTO 01 SEP 2006 MODIFIED PTO/SB/08 A & B (08-03)

Substitute for Form 1449 A & B/PTO  <b><u>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</u></b>  <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	National Stage of PCT/JP2005/003285
				Confirmation Number	Not yet assigned
				Filing Date	September 1, 2006
				First Named Inventor	Yoshiaki KOJIMA
				Art Unit	Not yet assigned
				Examiner Name	Not yet assigned
				Attorney Docket Number	Q96218
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US			
		US			
		US			
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		US			
		US			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		JP	11-283283	A	10-15-1999	SONY CORP.	Abstract
		JP	2002-367178	A	12-20-2002	PIONEER ELECTRONIC CORP.	Abstract
		JP	06-131706	A	05-13-1994	PIONEER ELECTRON CORP.	Abstract

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature	/Aristotelis Psitos/	Date Considered	/Aristotelis Psitos/
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language translation is attached.